

Analysis of Algorithms

Sorting

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Sorting Algorithms

1. Bubble Sort
2. Selection Sort
3. Insertion Sort
4. Shellsort
5. Mergesort
6. Quicksort
7. Bucket Sort
8. Heapsort
9. Radix Sort
10. Topological Sort

Sorting

Theorems

- 1) The average number of inversions in an array of n distinct numbers is $n(n-1)/4$.
- 2) Any algorithm that sorts by exchanging adjacent elements requires $\Omega(n^2)$ time on average.
- 3)
 - a) Any sorting algorithm that uses only comparisons between elements requires at least $\lceil \log n! \rceil$ comparisons in the worst case.
 - b) Any sorting algorithm that uses only comparisons between elements requires $\Omega(n \log n)$ comparisons.

Sorting

1. Bubble Sort

```
void bubbleSort(int numbers[], int n)
{
    // n is array size
    int i, j, temp;
    for (i = (n - 1); i >= 0; i--)
    {
        for (j = 1; j <= i; j++)
        {
            if (numbers[j-1] > numbers[j])
            {
                temp = numbers[j-1];
                numbers[j-1] = numbers[j];
                numbers[j] = temp;
            }
        }
    }
}
```

//T(n) = O(n²)

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Analysis of Bubble Sort

$$\sum_{i=n-1}^0 \sum_{j=1}^i 1 = \sum_{i=0}^{n-1} \sum_{j=1}^i 1$$

$$\sum_{i=0}^{n-1} \sum_{j=1}^i 1 = \sum_{i=0}^{n-1} i = \frac{n(n-1)}{2}$$

$$T(n) = O(n^2)$$

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2. Selection Sort

```
void selectionSort(int numbers[], int n)
{
    // n is array size
    int i, j, min, temp;
    for (i = 0; i < n-1; i++)
    {
        min = i;
        for (j = i+1; j < n; j++)
        {
            if (numbers[j] < numbers[min])
                min = j;
        }
        temp = numbers[i];
        numbers[i] = numbers[min];
        numbers[min] = temp;
    }
}
```

Analysis of Selection Sort

For each i from 1 to $n-1$, there is one exchange and $n-i$ comparisons, so there is a total of $n-1$ exchanges and $(n-1) + (n-2) + \dots + 2 + 1 = n(n-1)/2$ comparisons.

$$\begin{aligned} \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 &= \sum_{i=0}^{n-2} (n-1) - (i+1) + 1 \\ &= \sum_{i=0}^{n-2} (n-i-1) = \sum_{i=0}^{n-2} (n-i-1) = \sum_{i=0}^{n-2} n - \sum_{i=0}^{n-2} i - \sum_{i=0}^{n-2} 1 \\ &= n(n-1) - \frac{n(n-1)}{2} - n + 1 = \frac{n(n-1)}{2} - n + 1 = O(n^2) \end{aligned}$$

In the worst case, this could be quadratic, $T(n) = O(n^2)$, but in the average case, this quantity is $O(n \log n)$. It implies that the running time of Selection sort is quite insensitive to the input.

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3. Insertion Sort

```
void insertionSort(int numbers[], int n)
{
    // n is array size
    int i, j, index;
    for (i=1; i < n; i++)
    {
        index = numbers[i];
        j = i;
        while ((j > 0) && (numbers[j-1] > index))
        {
            numbers[j] = numbers[j-1];
            j = j - 1;
        }
        numbers[j] = index;
    }
}
```


Analysis of Insertion Sort

Best-Case

The while-loop is executed only once for each j . This happens if given array A is already sorted. It is a linear function of n .

$$T(n) = an + b = O(n)$$

Worst-Case

The worst-case occurs, j is executed for i times for each value of i . This can happen if array A starts out in reverse order

$$\begin{aligned} \sum_{i=1}^{n-1} \sum_{j=i}^1 1 &= \sum_{i=1}^{n-1} i \\ &= \frac{n(n-1)}{2} = O(n^2) \end{aligned}$$

It is a quadratic function of n . $T(n) = O(n^2)$

4. Shellsort

```
void shellSort(int numbers[], int n)
{
    int i, j, temp, increment = 5;
    while (increment > 0)
    {
        for (i=0; i < n; i++)
        {
            j = i;
            temp = numbers[i];
            while ((j >= increment) && (numbers[j-increment] > temp))
            {
                numbers[j] = numbers[j - increment];
                j = j - increment;
            }
            numbers[j] = temp;
        }
        if (increment/2 != 0)
            increment = increment/2;
        else if (increment == 1)    increment = 0;
        else    increment = 1;
    }
}
```

'Analysis' of Shellsort

Shell Sort for increment sequence 5, 3, 1

Array	81	94	11	96	12	35	17	95	28	58	41	75	15
Pass 1	35	17	11	28	12	41	75	15	96	58	81	94	95
Pass 2	28	12	11	35	15	41	58	17	94	75	81	96	95
Pass 3	11	12	15	17	28	35	41	58	75	81	94	95	96

- 1) The worst-case running time of shellsort, using Shell's increments, is $\Theta(n^2)$
- 2) The worst-case running time of shellsort, using Hibbard's increments, is $\Theta(n^{3/2})$

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5. Mergesort

```
void mergeSort(int numbers[], int temp[], int n)
{
    // n is array size
    m_sort(numbers, temp, 0, n - 1);
}
```

```
void m_sort(int numbers[], int temp[], int left, int right)
{
    int mid;
    if (right > left)
    {
        mid = (right + left) / 2;
        m_sort(numbers, temp, left, mid);
        m_sort(numbers, temp, mid+1, right);
        merge(numbers, temp, left, mid+1, right);
    }
}
```

.....Mergesort Continued

```
void merge(int numbers[], int temp[], int left, int mid, int right)
{
    int i, left_end, num_elements, tmp_pos;
    left_end = mid - 1;
    tmp_pos = left;
    num_elements = right - left + 1;
    while ((left <= left_end) && (mid <= right))
    {
        if (numbers[left] <= numbers[mid])
        {
            temp[tmp_pos] = numbers[left];
            tmp_pos = tmp_pos + 1;
            left = left + 1;
        }
        else
        {
            temp[tmp_pos] = numbers[mid];
            tmp_pos = tmp_pos + 1;
            mid = mid + 1;
        }
    }
}
```

//.....Mergesort Continued

```
while (left <= left_end)
{
    temp[tmp_pos] = numbers[left];
    left = left + 1;
    tmp_pos = tmp_pos + 1;
}
```

```
while (mid <= right)
{
    temp[tmp_pos] = numbers[mid];
    mid = mid + 1;
    tmp_pos = tmp_pos + 1;
}
```

```
for (i=0; i <= num_elements; i++)
{
    numbers[right] = temp[right];
    right = right - 1;
}
```

```
}
```

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Analysis of Mergesort I

We assume that Array size n is a power of 2 to split it in even halves. Sorting routine `m_sort()` is called recursively two times and `merge()` is linear.

$$T(1) = 1$$

$$T(n) = 2T(n/2) + n$$

$$\frac{T(n)}{n} = \frac{T(n/2)}{n/2} + 1$$

$$\frac{T(n/2)}{n/2} = \frac{T(n/4)}{n/4} + 1$$

$$\frac{T(n/4)}{n/4} = \frac{T(n/8)}{n/8} + 1$$

.....

$$\frac{T(2)}{2} = \frac{T(1)}{1} + 1$$

adding all the above equations :

$$\frac{T(n)}{n} = \frac{T(1)}{1} + \log n$$

$$T(n) = n + n \log n = O(n \log n)$$

$$**$T(n) = O(n \log n)$**$$

Sorting

Analysis of Mergesort II

We assume that Array size n is a power of 2 to split it in even halves. Sorting routine `m_sort()` is called recursively two times and `merge()` is linear.

$$T(n) = O(n \log n)$$

$$T(1) = 1$$

$$T(n) = 2T(n/2) + n$$

$$2T(n/2) = 2(2(T(n/4)) + n/2)$$

$$T(n) = 4T(n/4) + 2n$$

$$4T(n/4) = 4(2(T(n/8)) + n/4)$$

$$T(n) = 8T(n/8) + 3n$$

.....

$$T(n) = 2^k T(n/2^k) + k.n$$

$$\text{Using } k = \log n$$

$$T(n) = nT(1) + n \log n = n + n \log n$$

$$T(n) = O(n \log n)$$

6. Quicksort

```
void QuickSort( int a[], int low, int high)
{
    int up, down, mid, pivot;
    if ( (high - low) <= 0)
        return;
    else
        if ((high - low) == 1)
            if ( a[high] < a[low] )
                Swap(a[low], a[high]);
            return;
    mid = ( low + high )/2;
    pivot = a[mid];
    Swap( a[mid], a[low] );
    up = low + 1;
    down = high;
```

//Continued

Sorting

//..... Quicksort Continued

```
repeat
{
    while ((up <= down) && (a[up] <= pivot ))
        up = up + 1;
    while( pivot < a[down] )
        down = down - 1;
    if(up < down )
        Swap ( a[up], a[down] );
}until( up >= down);

a[low] = a[down];
a[down] = pivot;
if( low < down -1)
    QuickSort(a[], low, down - 1);
if( down +1 < high)
    QuickSort(a[], down + 1, high);
}
```

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Analysis of Quicksort

$$T(0) = T(1) = 1$$

$$T(n) = T(i) + T(n-i-1) + cn$$

Mid value is smallest all the time, $i = 0$ and ignoring $T(0)=1$.
 i is the size of partition.

$$T(n) = O(n^2)$$

Worst-Case:

$$T(n) = T(n-1) + cn, \quad n > 1$$

Telescoping repeatedly

$$T(n-1) = T(n-2) + c(n-1)$$

$$T(n-2) = T(n-3) + c(n-2)$$

.....

$$T(2) = T(1) + c(2)$$

Adding all equations

$$T(n) = T(1) + c \sum_{i=2}^n i$$

$$T(n) = 1 + \frac{(n-1)n}{2} = O(n^2)$$

Sorting

Analysis of Quicksort

$$T(0) = T(1) = 1$$

$$T(n) = T(i) + T(n-i-1) + cn$$

Mid value is in the middle
all the time, $i = n/2$.
 i is the size of partition.

$$T(n) = O(n \log n)$$

Best-Case:

$$T(n) = 2T\left(\frac{n}{2}\right) + cn$$

$$\frac{T(n)}{n} = \frac{T(n/2)}{n/2} + c$$

$$\frac{T(n/2)}{n/2} = \frac{T(n/4)}{n/4} + c$$

$$\frac{T(n/4)}{n/4} = \frac{T(n/8)}{n/8} + c$$

.....

$$\frac{T(2)}{2} = \frac{T(1)}{1} + c$$

adding all the above equations :

$$\frac{T(n)}{n} = \frac{T(1)}{1} + c \log n$$

$$T(n) = n + cn \log n = O(n \log n)$$

Sorting

Analysis of Quicksort

$$T(0) = T(1) = 1$$

$$T(n) = T(i) + T(n-i-1) + cn$$

Every partition is equally probable, the average value of $T(i)$

and hence $T(n-i-1)$, is $\frac{1}{n} \sum_{j=0}^{n-1} T(j)$

Average-Case:

$$T(n) = \frac{2}{n} \sum_{j=0}^{n-1} T(j) + cn \quad (i)$$

$$nT(n) = 2 \sum_{j=0}^{n-1} T(j) + cn^2 \quad (ii) \text{ \{multiply (i) by n\}}$$

$$(n-1)T(n-1) = 2 \sum_{j=0}^{n-2} T(j) + c(n-1)^2 \quad (iii) \text{ \{For } n = n-1 \}}$$

$$nT(n) - (n-1)T(n-1) = 2T(n-1) + 2cn - c \quad (iv) \text{ \{Subtract (iii) from (ii)\}}$$

$$nT(n) = (n+1)T(n-1) + 2cn \quad (v) \text{ \{rearranging and neglecting } c \}}$$

Continued

Sorting

Analysis of Quicksort

Average-Case:

$$nT(n) = (n+1)T(n-1) + 2cn$$

$$\frac{T(n)}{n+1} = \frac{T(n-1)}{n} + \frac{2c}{n+1}$$

(v) {Last Equation}

$$\frac{T(n-1)}{n} = \frac{T(n-2)}{n-1} + \frac{2c}{n}$$

(vi) {dividing by $n(n+1)$ }

$$\frac{T(n-2)}{n-1} = \frac{T(n-3)}{n-2} + \frac{2c}{n-1}$$

(vii) {Telescoping}

.....

$$\frac{T(2)}{3} = \frac{T(1)}{2} + \frac{2c}{3}$$

$$\frac{T(n)}{n+1} = \frac{T(1)}{2} + 2c \sum_{i=3}^{n+1} \frac{1}{i}$$

$$\frac{T(n)}{n+1} = \frac{1}{2} + \log(n+1) + \gamma - \frac{3}{2}, \gamma = 0.577$$

$$\frac{T(n)}{n+1} = O(\log n)$$

$$T(n) = O(n \log n)$$

$$T(n) = O(n \log n)$$

Sorting

7. Bucket Sort

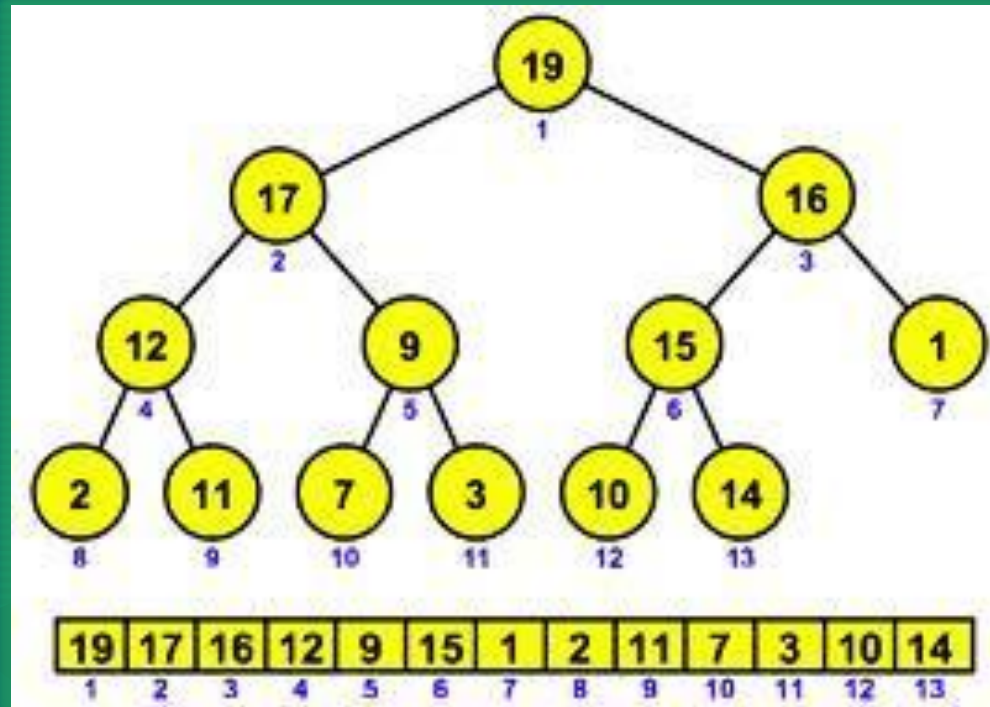
```
/* To sort a sequence of 16 integers having 971 as maximum:
   19, 0, 45, 167, 570, 431, 638, 824, 752, 73, 9, 971, 431, 262, 9, 5
   Define an array of 972 integers ( 972 buckets )
*/
int i, j, buckets[972];
int sequence[16]={ 14, 0, 45, 167, 570, 431, 638, 824, 752, 73, 9, 971, 431,
262, 9, 5 };
for( i =0; i<972; i++)
    buckets[i]=0;
for( i=0; i<16; i++)
    buckets[sequence[i]] += 1;
for( i=0; i<972; i++)           //printing array
    for( j=1; j<= buckets[i]; j++)
        cout << i <<" " <<endl;
```

1	0	0	0	0	1	0	0	0	2	0	1	0	1
0	1	2	3	4	5	6	7	8	9	10	11	12	971

Sorting

8. Heapsort

```
void heapSort(int numbers[], int array_size)
{
    int i, temp;
    for (i = (array_size / 2) - 1; i >= 0; i--)
        siftDown(numbers, i, array_size);
    for (i = array_size - 1; i >= 1; i--)
    {
        temp = numbers[0];
        numbers[0] = numbers[i];
        numbers[i] = temp;
        siftDown(numbers, 0, i - 1);
    }
}
```



//Continued

Sorting

```
void siftDown(int numbers[], int root, int bottom) { //.....Heapsort Continued
    int done, maxChild, temp;
    done = 0;
    while ((root*2 <= bottom) && (!done)) {
        if (root*2 == bottom)
            maxChild = root * 2;
        else if (numbers[root * 2] > numbers[root * 2 + 1])
            maxChild = root * 2;
        else
            maxChild = root * 2 + 1;
        if (numbers[root] < numbers[maxChild]) {
            temp = numbers[root];
            numbers[root] = numbers[maxChild];
            numbers[maxChild] = temp;
            root = maxChild;
        }
        else
            done = 1;
    }
}
```

Sorting

9. Radix Sort

64	8	216	512	27	729	0	1	343	125
----	---	-----	-----	----	-----	---	---	-----	-----

Radix	0	1	2	3	4	5	6	7	8	9
Pass 1	0	1	512	343	64	125	216	27	8	729
Pass 2	0	512	125		343		64			
	1	216	27							
	8		729							
Pass 3	0	125	216	343		512		729		
	1									
	8									
	27									
	64									

Sorting

10. Topological Sort

?

Sorting

END of Sorting